

Appendix 1 - Integrated Impact Assessment Screening Form

Please ensure that you refer to the Screening Form Guidance while completing this form.

Which service area and directorate are you from?

Service Area: Planning and City Regeneration

Directorate: Place

Q1 (a) What are you screening for relevance?

- New and revised policies, practices or procedures
- Service review, re-organisation or service changes/reductions, which affect the wider community, service users and/or staff
- Efficiency or saving proposals
- Setting budget allocations for new financial year and strategic financial planning
- New project proposals affecting staff, communities or accessibility to the built environment, e.g., new construction work or adaptations to existing buildings, moving to on-line services, changing location
- Large Scale Public Events
- Local implementation of National Strategy/Plans/Legislation
- Strategic directive and intent, including those developed at Regional Partnership Boards and Public Services Board, which impact on a public bodies functions
- Medium to long term plans (for example, corporate plans, development plans, service delivery and improvement plans)
- Setting objectives (for example, well-being objectives, equality objectives, Welsh language strategy)
- Major procurement and commissioning decisions
- Decisions that affect the ability (including external partners) to offer Welsh language opportunities and services

(b) Please name and fully describe initiative here:

The UK Shared Prosperity Fund (UKSPF) is a central pillar of the UK government's Levelling Up agenda and will provide funding over the next 3 years up until March 2025. All areas of the UK will receive an allocation from the Fund via a funding formula rather than a competition. Its mix of revenue and capital funding can be used to support a wide range of interventions to build pride in place and improve life chances.

The UKSPF total for the region is made up of 'core' funding, which will fund most of the Fund's Priorities and the Multiply programme which focuses on supporting adult numeracy initiatives. The allocation for each of the local authorities across the region is as follows:

Total	Core UKSPF	Multiply	Total
South West Region	£ 113,985,414	£ 17,970,430	£ 131,955,844
Carmarthenshire	£ 32,002,918	£ 5,045,437	£ 37,048,355
Neath Port Talbot	£ 28,448,295	£ 4,485,031	£ 32,933,326
Pembrokeshire	£ 19,125,971	£ 3,015,315	£ 22,141,286
Swansea	£ 34,408,230	£ 5,424,647	£ 39,832,877

This funding will be invested across three priorities of the UKSPF by 31 March 2025:

- Communities and place: to enable places to invest to restore their community spaces and relationships and create the foundations for economic development at the neighbourhood-level, to help strengthen the social fabric of communities, supporting in building pride in place.
- Support for local businesses: enabling places to fund interventions that support local businesses to thrive, innovate and grow.

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- People and skills: funding to help reduce the barriers some people face to employment and support them to move towards employment and education. Places can also target funding into skills for local areas to support employment and local growth.

The Programme is broader in scope than previous EU funds, but with a considerably reduced budget like for like. The Programme cannot be used to replace statutory provision.

In Wales, UK government supports delivery across the four regional strategic geographies on the economic development footprint. Local government has been given responsibility for developing a regional investment plan for approval by the UK government, and for delivery of the Fund thereafter. A 'lead local authority' for the region will receive the Region's allocation and have overall accountability for the funding and how the Fund operates. Swansea Council has been nominated to act as the lead Authority on behalf of the South West region.

Regional Investment Plan

In order to access their allocation, lead local authorities have been asked to complete a Regional Investment Plan, setting out how they intend to use and deliver the funding at a very high level. The investment plan was submitted to UK government in August 2022.

Due to the short timescales involved, each local authority prepared a local investment plan which has fed into the wider Regional Investment Plan for South West Wales. The Investment Plan for Swansea was drafted in consultation with the Regeneration Swansea partnership and is based on our existing strategies and plans including the Economic Recovery Action Plan and the South West Wales Regional Economic Delivery Plan. A copy of the South West Wales Investment Plan is included in Appendix A. From positive feedback received from civil servants prior to submission a smooth run through the appraisal process is anticipated. Approval was received 5 December 2022.

Implementation

Work is progressing on implementation on a regional basis to provide commonality so far as possible, but also consistency with the implementation statement in the regional Investment Plan. Underlying this work are the following principles:

- Achieving a rapid start to ensure allocations are fully taken up each year.
- Devolving implementation to the local level, but in the context of the regional plan and with a regional lead.
- Minimising as far as possible the number of legal agreements and Service Level Agreements in part by taking advantage of UK Department for Levelling up, Housing and Communities guidance that local authorities can deliver parts of the programme in-house.

Four modes of delivery are proposed. In order of implementation these are:

Anchor projects: These are major projects run primarily in-house that will deliver major parts of the investment strategy. They will focus on specific DLUHC themes (Communities and Place, Supporting Local Business, People and Skills including or excluding Multiply), they may also tackle themes that run across the DLUHC themes (e.g. poverty), or a mixture of the two. There is no assumption that the same anchor projects

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will run across the region. Anchor teams will comprise of both new and existing staff to ensure these are properly resourced to ensure delivery. Each Anchor project will be co-ordinated through a local board to include organisation(s) involved in delivery.

Grant schemes: For the most part these will be thematic and integrated into the anchor projects, but can stand alone if necessary. It is envisaged that these will provide:

- a. Grants to voluntary and community groups (also town and community councils) under the Communities and Place theme.
- b. Grants to businesses under the Supporting Local Businesses theme for capital equipment and innovation, to support start-ups and achieve decarbonisation.
- c. Grants primarily to the third sector and private sector to support activity previously supported by the WCVA Active Inclusion programme, or similar, where there is evidence that funding will prove an effective and efficient use of resources.

Standalone projects. These will be invited by competitive bidding rounds to fill clear gaps in strategy delivery that are not being met by 1 and 2 above.

Procured projects. These will be specified and procured to deliver precisely defined activity that is not being fulfilled by 1, 2 and 3 above.

Q2 What is the potential impact on the following: the impacts below could be positive (+) or negative (-)

	High Impact		Medium Impact		Low Impact		Needs further investigation
	+	-	+	-	+	-	
Children/young people (0-18)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Older people (50+)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Any other age group	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Future Generations (yet to be born)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Disability	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Race (including refugees)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Asylum seekers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gypsies & travellers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Religion or (non-)belief	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sex	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sexual Orientation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gender reassignment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Welsh Language	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Poverty/social exclusion	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Carers (inc. young carers)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Community cohesion	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Marriage & civil partnership	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pregnancy and maternity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Q3 What involvement has taken place/will you undertake e.g. engagement/consultation/co-productive approaches? Please provide details below – either of your activities or your reasons for not undertaking involvement

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The Economic Development & External Funding Team has already collated information provided by Council officers across Place, Social Services and Education, and those key strategic partners represented Regeneration Swansea economic regeneration partnership as well as a consultation session and submissions from the Third Sector, regarding projects they have identified as being suitable for delivery through the Shared Prosperity Fund. These are not bids or applications for funding, but are viewed by the Economic Development & External Funding Team as an indication of the types of projects that the Council and other organisations have an appetite to deliver, and also the magnitude of resources needed. The demand for resources inevitably exceeds the budget available, but there is some duplication of interventions, and some project concepts are insufficiently related to the objectives of the UKSPF or the Regional Investment Plan.

We have also been contacted by national (primarily Welsh) and some very local organisations seeking funding. We envisage that the best way of small local organisations accessing UKSPF will be through grant schemes, hence the intention to put these in place as quickly as possible. National bodies will be able to compete for funding for standalone or commissioned projects.

The most pressing task is to finalise design of the Anchor projects. Based on the objectives of the UKSPF, the regional Investment Plan, feedback from local and regional consultation and the project ideas that have come forward. Table 1 indicates the scope and scale of the anchor projects. Over half of the funding within anchor projects is designed around grant schemes to ease access for smaller organisations in the private and third sectors, with the balance being employability and business support frontline provision. Provision is carefully aligned to ensure no duplication with any other funding streams, and seamlessly integrated with existing provision. Activities targeted reflect the known pressures based on current economic circumstances.

It is proposed that the People & Skills theme be addressed through a targeted open call with reference to the most up to date skills and employment research via the Regional Learning and Skills Partnership to enable the training sector to formulate appropriate proposals.

The headline principles outlined above are based on the range of engagement across the Council and partners outlined above. Detail will be further refined assuming the headline activities and budget allocations are supported.

Q4 Have you considered the Well-being of Future Generations Act (Wales) 2015 in the development of this initiative:

- a) Overall does the initiative support our Corporate Plan's Well-being Objectives when considered together?
Yes No
- b) Does the initiative consider maximising contribution to each of the seven national well-being goals?
Yes No
- c) Does the initiative apply each of the five ways of working?
Yes No
- d) Does the initiative meet the needs of the present without compromising the ability of future generations to meet their own needs?

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Yes

No

Q5 What is the potential risk of the initiative? (*Consider the following impacts – equality, socio-economic, environmental, cultural, legal, financial, political, media, public perception etc...*)

High risk

Medium risk

Low risk

Q6 Will this initiative have an impact (however minor) on any other Council service?

Yes

No

If yes, please provide details below

Q7 What is the cumulative impact of this proposal on people and/or communities when considering all the impacts identified within the screening and any other key decisions affecting similar groups/ service users made by the organisation?

(You may need to discuss this with your Service Head or Cabinet Member to consider more widely if this proposal will affect certain groups/ communities more adversely because of other decisions the organisation is making. For example, financial impact/poverty, withdrawal of multiple services and whether this is disadvantaging the same groups, e.g., disabled people, older people, single parents (who are mainly women), etc.)

The Shared Prosperity Fund is one of the replacement 'Levelling up Funds' designed to replace EU funding on a tapering basis. This Fund will support people, businesses and communities across the Swansea to pilot programmes and new approaches and will invest in skills, community and place, local business, and supporting people into employment.

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Outcome of Screening

Q8 Please describe the outcome of your screening below:

- **Summary of impacts identified and mitigation needed (Q2)**
- **Summary of involvement (Q3)**
- **WFG considerations (Q4)**
- **Any risks identified (Q5)**
- **Cumulative impact (Q7)**

The Shared Prosperity Fund will invest in projects that support people, boost skills and support local business – to build more communities that people are proud to call home.

Whilst this assessment concludes that no full report is required, a wider stakeholder engagement has taken place at regional and local level both within the Council and external third and private sector stakeholder

All projects are being designed to be fully compliant with the Well-being of Future Generations (Wales) Act 2015, and to align with the Council's obligation to act in a sustainable way and the examples of public and private sector investment demonstrate compliance with the 5 Ways of Working promoted by the Act and will make a significant contribution to the 7 well-being goals. All projects must describe this in the strategic context section of their application form for assessment.

Each project will be subject to its own IIA process.

(NB: This summary paragraph should be used in the relevant section of corporate report)

Full IIA to be completed

Do not complete IIA – please ensure you have provided the relevant information above to support this outcome

NB: Please email this completed form to the Access to Services Team for agreement before obtaining approval from your Head of Service. Head of Service approval is only required via email.

Screening completed by:
Name: Paul Relf
Job title: Economic Development & External Funding Manager
Date: 02.02.2023
Approval by Head of Service:
Name: Phil Holmes
Position: Head of Service for Planning & City Regeneration
Date: 02.02.2023

Please return the completed form to accesstoservices@swansea.gov.uk